

S/N 10/089409

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Aikoh et al.      Examiner: Unknown  
Serial No.: 10/089409      Group Art Unit: Unknown  
Filed: March 28, 2002      Docket No.: 10873.882USWO  
Title: OPTICAL DISK AND OPTICAL DISK DEVICE

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on May 29, 2002.

By:   
Hicham Bichachi

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b)) RECEIVED

Commissioner for Patents  
Washington, D.C. 20231

NOV 04 2002

Technology Center 2600

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. § 1.98(a)(3)):

Applicants bring to the attention of the Examiner that EP 0 473 305, EP 1 067 519 and EP 0 974 965 are an English equivalent of JP 4-103079, JP 2001-6210 and JP 5-36234, respectively.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

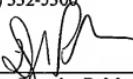
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: May 29, 2002

By   
\_\_\_\_\_  
Douglas P. Mueller  
Reg. No. 30,300

DPM:hb

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JCO4 Rec'd PCT/PTO 04 JUN 2002

PCT  
SB20  
#4  
06-20-03  
S.I.

Applicant:	Aikoh et al.	Examiner:	Unknwon
Serial No.:	10/089409	Group Art Unit:	Unknown
Filed:	March 28, 2002	Docket:	10873.882USWO
Confirmation No.:	Unknown	Notice of Allow.	NA
Due Date:	June 28, 2002	Date:	
Title:	OPTICAL DISK AND OPTICAL DISK DEVICE		



## CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, Washington, D.C. 20231 on May 29, 2002.

By: *Hicham Bishara*  
Name: Hicham Bishara

Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Information Disclosure Statement, Form 1449, 15 Reference(s)
- Return postcard

RECEIVED

NOV 04 2002

Technology Center 2600

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: *Douglas P. Mueller*  
Name: Douglas P. Mueller  
Reg. No.: 30,300  
D. Mueller:hb



Date Mailed: May 29, 2002

Sheet 1 of 1

FORM 1449* INFORMATION DISCLOSURE STATEMENT  IN AN APPLICATION (Use several sheets if necessary)		Docket Number: 10873.882USWO	Application Number: 10/089409
		Applicant: Aikoh et al.	
		Filing Date: March 28, 2002	Group Art Unit: Unknown



U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 5,309,421	May 1994	Fujisawa			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	JP 2001-6210	Jan. 2001	Japan			YES      NO
	JP 6-139737	May 1994	Japan			Abstract
	JP 5-342684	Dec. 1993	Japan			Abstract
	JP 5-274739	Oct. 1993	Japan			Abstract
	JP 5-89523	Apr. 1993	Japan			Abstract
	JP 5-36234	Feb. 1993	Japan			
	JP 4-103079	Apr. 1992	Japan			
	JP 2-239440	Sep. 1990	Japan			Abstract
	JP 1-282757	Nov. 1989	Japan			Abstract
	JP 63-113992	May 1988	Japan			Abstract
	EP 1 067 519	Jan. 2001	EPO			
	EP 0 974 965	Jan. 2000	EPO			
	EP 0 586 990	Mar. 1994	EPO			
	EP 0 473 305	Mar. 1992	EPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						



EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	